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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

246/227

First named Inventor or Application Identifier

Charles S. Taylor

Title

SURGICAL DEVICES FOR IMPOSING A NEGATIVE PRESSURE TO
STABILIZE CARDIAC TISSUE DURING SURGERY

Express Mail Label No.

EL508737121US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contacts

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s) [Total Sheets 3]
 - Abstract of the Disclosure [Total Sheets 1]
3. ☒ Drawing(s) **INFORMALS** (35 USC § 113) [Total Sheets 7]
4. ☒ Oath or Declaration [Total Sheets 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
- i. DELETION OF INVENTORS
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.53(d)(2) and 1.53(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
- a. ☐ Computer readable Copy
 - b. ☐ Paper Copy (Identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignment)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure ☐ Copies of IDS
X Statement (IDS) PTO-1449 No copies of Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Small Entity ☐ Statement filed in prior application
Statement(s) XX Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment.
- ☐ Continuation ☒ Divisional ☐ Continuation-in-Part of prior application No: co-pending application Serial No.
08/870,687 filed on June 6, 1997, which is a divisional of Serial No. 08/603,328 filed on February 20, 1996 and issued as United States Patent No.
5,727,569 issued on March 17, 1998. The priority of this application is expressly claimed and disclosure is hereby incorporated by reference.
- Prior application information: Examiner D. Shai Group / Art Unit 3731
- For CONTINUATION or DIVISIONAL APPS only:

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22249		or <input type="checkbox"/> Correspondence address below	
		(insert Customer No. or Attach bar code label here)			
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	Lyon & Lyon LLP				
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Name (Print/Type)	Kurt T. Mulville	Registration No. (Attorney/Agent)	37,194
Signature		Date	November 15, 1999

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OC-38345.1

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FEE TRANSMITTAL		Complete if Known	
Note: Effective October 1, 1997. Patent fees are subject to annual revision.		Application Number	N/A
		Filing Date	Herewith
		First Named Inventor	Charles S. Taylor
		Group Art Unit	N/A
		Examiner Name	N/A
TOTAL AMOUNT OF PAYMENT	(\$)	Attorney Docket Number	246/227

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																												
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: <div style="display: flex; justify-content: space-between;"> <div> Deposit Account Number Deposit Account Name Charge Any Additional Fees Required Under 37 CFR 1.116 and 1.17 </div> <div style="border: 1px solid black; padding: 5px; width: 200px;"> 12-2475 Lyon & Lyon LLP </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance </div>		Large Fee Code 105 127 139 147 113 115 116 117 118 128 119 120 121 138 140 141 143 144 122 123 126 581 146 149	Entity Fee (\$) 130 50 130 2,520 1,840 110 400 950 1,510 2,060 310 310 270 1,510 110 1,320 450 670 130 50 240 40 790 790	Small Fee Code 205 227 139 147 113 215 216 217 218 228 219 220 221 138 240 241 243 244 122 123 126 581 246 249	Entity Fee (\$) 65 25 130 2,520 1,840 55 200 475 755 1,030 155 155 135 1,510 55 660 225 335 130 50 240 40 395 395	Fee Description Surcharge-late filing fee or oath Surcharge-late provisional filing fee or cover sheet NonEnglish specification Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action Extension for reply within first month Extension for reply within second month Extension for reply within third month Extension for reply within fourth month Extension for reply within fifth month Notice of Appeal Filing a brief in support of an appeal Request for oral hearing Petition to institute a public use proceeding Petition to revive-unavoidable Utility issue fee (or reissue) Design issue fee Plant issue fee Petitions to the Commissioner Petitions related to provisional applications Submission of Information Disclosure Stmt Recording each patent assignment per property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify) _____ Other fee (specify) _____																																								
FEE CALCULATION 1. FILING FEE <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>760</td> <td>201</td> <td>380</td> <td>Utility filing fee</td> <td>380.</td> </tr> <tr> <td>106</td> <td>310</td> <td>206</td> <td>155</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>480</td> <td>207</td> <td>240</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>760</td> <td>208</td> <td>380</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$ 760.)</td> </tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	760	201	380	Utility filing fee	380.	106	310	206	155	Design filing fee		107	480	207	240	Plant filing fee		108	760	208	380	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$ 760.)			
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Kurt T. Mulville	Reg. Number	37,194
Signature		Deposit Account User ID	12-2475
Date	November 15, 1999		

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